

10/566,413

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(FILE 'HOME' ENTERED AT 13:32:27 ON 19 NOV 2009)

FILE 'CAPLUS' ENTERED AT 13:32:37 ON 19 NOV 2009

L1 1 S US 20060189594/PN  
SELECT RN L1 1-

FILE 'REGISTRY' ENTERED AT 13:32:57 ON 19 NOV 2009

L2 16 S E1-16  
L3 6 S L2 AND 6-6-7/SZ  
L4 6 S L3 AND NRS>1  
L5 1 S L4 AND C40 H39 N3 O2 S/MF  
L6 1 S L4 AND C19 H21 N3 O S/MF  
L7 1 S L4 AND C21 H25 N3 O2 S/MF  
L8 1 S L4 AND C23 H27 N3 O3 S/MF  
L9 1 S L4 AND C28 H31 N3 O2 S/MF  
L10 1 S L4 NOT (L5 OR L6 OR L7 OR L8 OR L9)

FILE 'CAPLUS' ENTERED AT 13:36:00 ON 19 NOV 2009

L11 2 S L5  
L12 13 S L6  
L13 1410 S L7  
L14 3 S L8  
L15 3 S L9  
L16 302 S L10

FILE 'REGISTRY' ENTERED AT 13:36:31 ON 19 NOV 2009

FILE 'CAPLUS' ENTERED AT 13:36:32 ON 19 NOV 2009

FILE 'REGISTRY' ENTERED AT 13:36:37 ON 19 NOV 2009

FILE 'CAPLUS' ENTERED AT 13:36:38 ON 19 NOV 2009

FILE 'REGISTRY' ENTERED AT 13:37:17 ON 19 NOV 2009

FILE 'CAPLUS' ENTERED AT 13:37:18 ON 19 NOV 2009

FILE 'REGISTRY' ENTERED AT 13:41:55 ON 19 NOV 2009

L17 10 S L2 NOT L4  
L18 2 S L17 AND PYRAN

FILE 'CAPLUS' ENTERED AT 13:43:14 ON 19 NOV 2009

L19 117 S L18  
L20 1613 S L13 OR L16  
L21 12 S L12 AND L20  
L22 15 S L21 OR L11 OR L14 OR L15

FILE 'REGISTRY' ENTERED AT 13:46:15 ON 19 NOV 2009

L23 156308 S 6-6-7/SZ  
L24 1745443 S 46.383/RID  
L25 24901 S L23 AND L24  
L26 9254 S L25 AND NRS=3  
L27 21 S L26 AND PYRAN  
L28 4 S L27 AND C26 H33 N3 O3 S/MF

10/566,413

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      FILE 'CAPLUS' ENTERED AT 13:48:58 ON 19 NOV 2009
L29      2 S L28
L30      16 S L22 OR L29

=> d ibib abs hitstr total
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L30 ANSWER 1 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2009:756468 CAPLUS

DOCUMENT NUMBER: 151:150475

TITLE: An Improved and Single Pot Process for the Production of Quetiapine Hemifumarate Substantially Free from Potential Impurities

AUTHOR(S): Niphade, Navnath C.; Mali, Anil C.; Pandit, Bhushan S.; Jagtap, Kunal M.; Jadhav, Sanjay A.; Jachak, Madhukar N.; Mathad, Vijayavitthal T.

CORPORATE SOURCE: Department of Process Research and Development, Megafine Pharma (P) Ltd., Nashik, Maharashtra, 422202, India

SOURCE: Organic Process Research &amp; Development (2009), 13(4), 792-797

CODEN: OPRDFK; ISSN: 1083-6160

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal

LANGUAGE: English

AB An improved and single pot process for the preparation of Quetiapine hemifumarate, an antipsychotic drug, free from potential impurities was developed, and has overall yield of 80%. The current process suffers from the drawback of potential impurities identified as 11-piperazin-1-yl-dibenzo[b,f][1,4]thiazepine, 2-(4-dibenzo[b,f][1,4]thiazepin-11-ylpiperazin-1-yl)ethanol, dimer, and N-methyl-N-phenyldibenzo[b,f][1,4]thiazapine-11-amine. Elimination of these impurities in the process is achieved by chlorination and in situ condensation of intermediates and stabilizing the pH during workup to obtain a free base, which is further converted to quetiapine hemifumarate salt, free from all these impurities. Different aspects of process development such as scheme selection, optimization of different process parameters, identification, synthesis, origin and control of impurities, and development of an accurate anal. method based on reverse phase HPLC during the development of a scalable process for quetiapine hemifumarate are discussed.

IT 111974-72-2P, Quetiapine Hemifumarate

RL: IMF (Industrial manufacture); PUR (Purification or recovery); PREP (Preparation)

(development and optimization of single pot process for production of Quetiapine hemifumarate and method for impurity removal and HPLC

determination)

RN 111974-72-2 CAPLUS

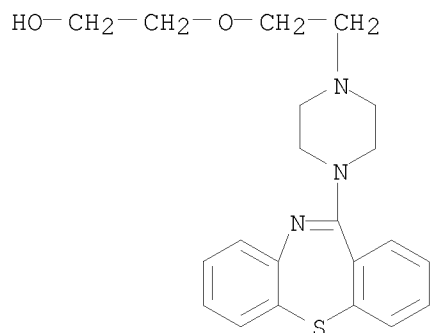
CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-, (2E)-2-butenedioate (2:1) (CA INDEX NAME)

CM 1

CRN 111974-69-7

CMF C21 H25 N3 O2 S

10/566,413

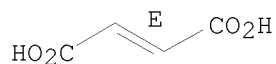


CM 2

CRN 110-17-8

CMF C4 H4 O4

Double bond geometry as shown.



IT 111974-69-7P, Quetiapine

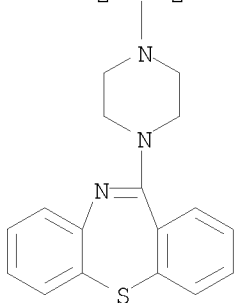
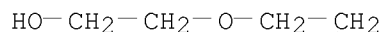
RL: IMF (Industrial manufacture); RCT (Reactant); PREP (Preparation); RACT (Reactant or reagent)

(development and optimization of single pot process for production of Quetiapine hemifumarate and method for impurity removal and HPLC

determination)

RN 111974-69-7 CAPLUS

CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]- (CA INDEX NAME)



IT 329216-67-3P, 2-(4-Dibenzo[b,f][1,4]thiazepin-11-ylpiperazin-1-yl)ethanol

RL: ANT (Analyte); REM (Removal or disposal); SPN (Synthetic preparation);

ANST (Analytical study); PREP (Preparation); PROC (Process)

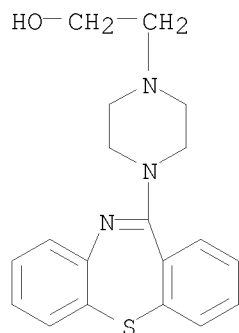
(impurity; development and optimization of single pot process for

10/566,413

production of Quetiapine hemifumarate and method for impurity removal and HPLC determination)

RN 329216-67-3 CAPLUS

CN 1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)



REFERENCE COUNT:

10

THERE ARE 10 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L30 ANSWER 2 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2009:202755 CAPLUS

DOCUMENT NUMBER: 150:437635

TITLE: In silico methods for predicting metabolism and mass fragmentation applied to quetiapine in liquid chromatography/time-of-flight mass spectrometry urine drug screening

AUTHOR(S): Pelander, Anna; Tyrkko, Elli; Ojanpera, Ilkka  
CORPORATE SOURCE: Department of Forensic Medicine, University of Helsinki, FI-00014, Finland

SOURCE: Rapid Communications in Mass Spectrometry (2009), 23(4), 506-514

CODEN: RCMSEF; ISSN: 0951-4198

PUBLISHER: John Wiley &amp; Sons Ltd.

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Current in silico tools were evaluated for their ability to predict metabolism and mass spectral fragmentation in the context of anal. toxicol. practice. A metabolite prediction program (Lhasa Meteor), a metabolite detection program (Bruker MetaboliteDetect), and a fragmentation prediction program (ACD/MS Fragmenter) were used to assign phase I metabolites of the antipsychotic drug quetiapine in the liquid chromatog./time-of-flight mass spectrometry (LC/TOFMS) accurate mass data from ten autopsy urine samples. In the literature, the main metabolic routes of quetiapine have been reported to be sulfoxidn., oxidation to the corresponding carboxylic acid, N- and O-dealkylation and hydroxylation. Of the 14 metabolites predicted by Meteor, eight were detected by LC/TOFMS in the urine samples with use of MetaboliteDetect software and manual inspection. An addnl. five hydroxy derivs. were detected, but not predicted by Meteor. The fragment structures provided by ACD/MS Fragmenter software confirmed the identification of the metabolites. Mean mass accuracy and isotopic pattern match (SigmaFit) values for the fragments were 2.40 ppm (0.62 mDa) and 0.010, resp. ACD/MS Fragmenter, in particular, allowed metabolites with identical mol. formulas to be differentiated without a need to access the resp. reference stds. or reference spectra. This was well exemplified with the hydroxy/sulfoxy metabolites of quetiapine and their N- and O-dealkylated forms. The procedure resulted in assigning 13 quetiapine metabolites in urine. The present approach is instrumental in developing an extensive database containing exact monoisotopic masses and verified retention times of drugs and their urinary metabolites for LC/TOFMS drug screening.

IT 111974-69-7, Quetiapine

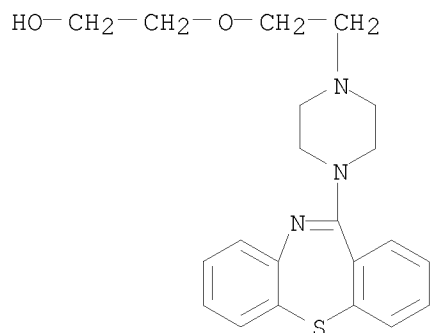
RL: ANT (Analyte); PKT (Pharmacokinetics); THU (Therapeutic use); ANST (Analytical study); BIOL (Biological study); USES (Uses)

(in silico methods for predicting metabolism and mass fragmentation applied to quetiapine in liquid chromatog./time-of-flight mass spectrometry urine drug screening)

RN 111974-69-7 CAPLUS

CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-(CA INDEX NAME)

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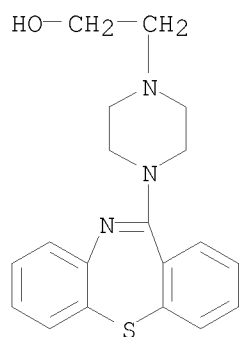
IT 329216-67-3

RL: ANT (Analyte); PRP (Properties); ANST (Analytical study)

(in silico methods for predicting metabolism and mass fragmentation applied to quetiapine in liquid chromatog./time-of-flight mass spectrometry urine drug screening)

RN 329216-67-3 CAPLUS

CN 1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)



OS.CITING REF COUNT:	1	THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS)
REFERENCE COUNT:	29	THERE ARE 29 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L30 ANSWER 3 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2009:94363 CAPLUS

DOCUMENT NUMBER: 150:320645

TITLE: Preliminary evaluation of monolithic column  
high-performance liquid chromatography with  
tris(2,2'-bipyridyl)ruthenium(II) chemiluminescence  
detection for the determination of quetiapine in human  
body fluids

AUTHOR(S): Bellomarino, Sara A.; Brown, Allyson J.; Conlan,  
Xavier A.; Barnett, Neil W.

CORPORATE SOURCE: School of Life and Environmental Sciences, Deakin  
University, Geelong, 3217, Australia

SOURCE: Talanta (2009), 77(5), 1873-1876

CODEN: TLNTA2; ISSN: 0039-9140

PUBLISHER: Elsevier B.V.

DOCUMENT TYPE: Journal

LANGUAGE: English

AB High-performance liquid chromatog. (HPLC) with  
tris(2,2'-bipyridyl)ruthenium(II) chemiluminescence detection methodol. is  
reported for the determination of the atypical antipsychotic drug quetiapine  
and

the observation of its major active and inactive metabolites in human  
urine and serum. The method uses a monolithic chromatog. column allowing  
high flow rates of 3 mL min<sup>-1</sup> enabling rapid quantification. Flow  
injection anal. (FIA) with tris(2,2'-bipyridyl)ruthenium(II)  
chemiluminescence detection and HPLC time of flight mass spectrometry  
(TOF-MS) were used for the determination of quetiapine in a pharmaceutical  
preparation

to establish its suitability as a calibration standard The limit of detection  
achieved with FIA was  $2 + 10^{-11}$  mol L<sup>-1</sup> in simple aqueous solution The  
limits of detection achieved with HPLC were  $7 + 10^{-8}$  and  $2 +$   
 $10^{-10}$  mol L<sup>-1</sup> in urine and serum, resp. The calibration range for FIA was  
between  $5 + 10^{-9}$  and  $1 + 10^{-6}$  mol L<sup>-1</sup>. The calibration ranges  
for HPLC were between  $1 + 10^{-7}$ - $1 + 10^{-4}$  and  $1 + 10^{-8}$ - $1$   
 $+ 10^{-4}$  mol L<sup>-1</sup> in urine and serum, resp. The quetiapine concns. in  
patient samples were found to be  $3 + 10^{-6}$  mol L<sup>-1</sup> in urine and  $7$   
 $+ 10^{-7}$  mol L<sup>-1</sup> in serum. Without the need for preconcn., the HPLC  
detection limits compared favorably with those in previously published  
methodologies. The metabolites were identified using HPLC-TOF-MS.

IT 329216-67-3

RL: ANT (Analyte); ANST (Analytical study)

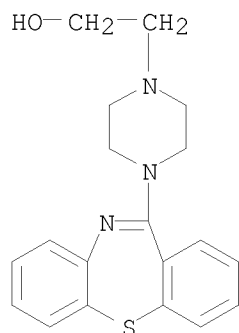
(preliminary evaluation of monolithic column high-performance liquid  
chromatog. with trisbipyridylruthenium II chemiluminescence detection  
for determination of quetiapine in human body fluids)

RN 329216-67-3 CAPLUS

CN 1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)



10/566,413

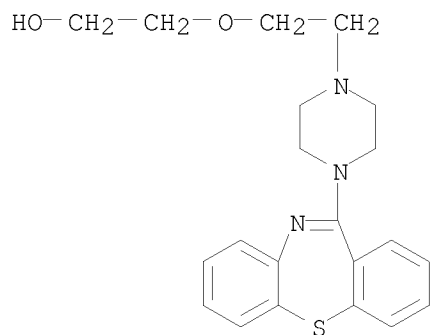


IT 111974-69-7, Quetiapine

RL: ANT (Analyte); THU (Therapeutic use); ANST (Analytical study); BIOL (Biological study); USES (Uses)  
(preliminary evaluation of monolithic column high-performance liquid chromatog. with trisbipyridylruthenium II chemiluminescence detection for determination of quetiapine in human body fluids)

RN 111974-69-7 CAPLUS

CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-  
(CA INDEX NAME)



REFERENCE COUNT:

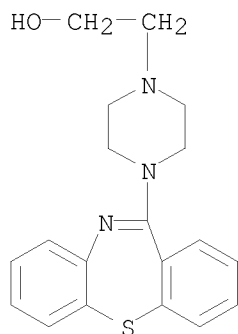
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THERE ARE 26 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L30 ANSWER 4 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN  
 ACCESSION NUMBER: 2008:1233138 CAPLUS  
 DOCUMENT NUMBER: 151:101139  
 TITLE: Purification of HEEP  
 AUTHOR(S): Anon.  
 CORPORATE SOURCE: USA  
 SOURCE: IP.com Journal (2008), 8(8A), 15 (No.  
 IPCOM000173019D), 24 Jul 2008  
 CODEN: IJPOBX; ISSN: 1533-0001  
 PUBLISHER: IP.com, Inc.  
 DOCUMENT TYPE: Journal; Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
IP 173019D		20080724	IP 2008-173019D	20080724
PRIORITY APPLN. INFO.:			IP 2008-173019D	20080724

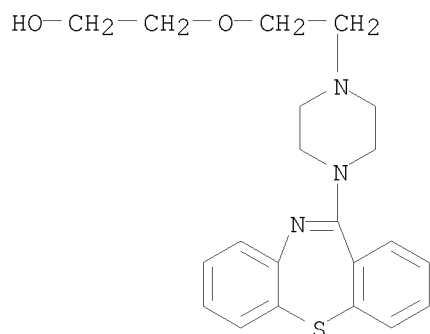
OTHER SOURCE(S): CASREACT 151:101139  
 AB A method for the purification of the title compound [i.e., HEEP, 2-[2-(1-piperazinyl)ethoxy]ethanol] involves the application of high-vacuum fractional distillation. A reaction of this purified reactant with 11-chlorodibenzo[b,f][1,4]thiazepine gave 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]ethanol (2E)-2-butenedioate (2:1) (i.e., quetiapine fumarate).  
 IT 329216-67-3P, 4-(Dibenzo[b,f][1,4]thiazepin-11-yl)-1-piperazineethanol  
 RL: BYP (Byproduct); PUR (Purification or recovery); PREP (Preparation) (preparation of [(dibenzo[b,f][1,4]thiazepinyl)piperazinyl]ethoxy]ethanol fumarate)  
 RN 329216-67-3 CAPLUS  
 CN 1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)



IT 111974-69-7P, 2-[2-(4-Dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]ethanol  
 RL: PUR (Purification or recovery); RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (preparation of [(dibenzo[b,f][1,4]thiazepinyl)piperazinyl]ethoxy]ethanol fumarate by reaction of (chloro)dibenzo[b,f][1,4]thiazepine with [(piperazinyl)ethoxy]ethanol)  
 RN 111974-69-7 CAPLUS

10/566,413

CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-  
(CA INDEX NAME)

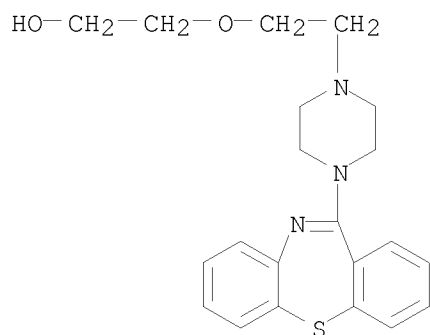


IT 111974-72-2P, Quetiapine fumarate  
RL: PUR (Purification or recovery); SPN (Synthetic preparation); PREP  
(Preparation)  
(preparation of [(dibenzo[b,f][1,4]thiazepinyl)piperazinyl]ethoxy]ethanol  
fumarate by reaction of (chloro)dibenzo[b,f][1,4]thiazepine with  
[(piperazinyl)ethoxy]ethanol)  
RN 111974-72-2 CAPLUS  
CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-,  
(2E)-2-butenedioate (2:1) (CA INDEX NAME)

CM 1

CRN 111974-69-7

CMF C21 H25 N3 O2 S



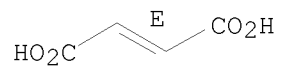
CM 2

CRN 110-17-8

CMF C4 H4 O4

Double bond geometry as shown.

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L30 ANSWER 5 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2008:674294 CAPLUS

DOCUMENT NUMBER: 149:32336

TITLE: Preparation of dibenzothiazepine derivatives as antagonists of multiple neurotransmitter receptors

INVENTOR(S): Tung, Roger; Harbeson, Scott

PATENT ASSIGNEE(S): Concert Pharmaceuticals Inc., USA

SOURCE: PCT Int. Appl., 35pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

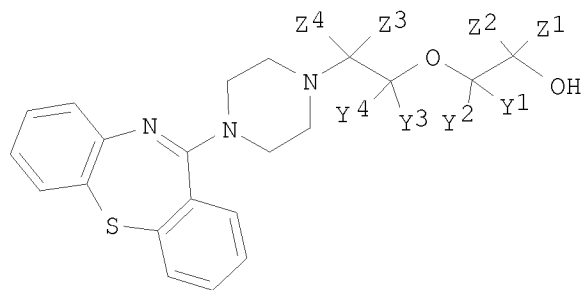
PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 2008066620	A2	20080605	WO 2007-US22338	20071019
WO 2008066620	A3	20081113		
W:	AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW			
RW:	AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA			

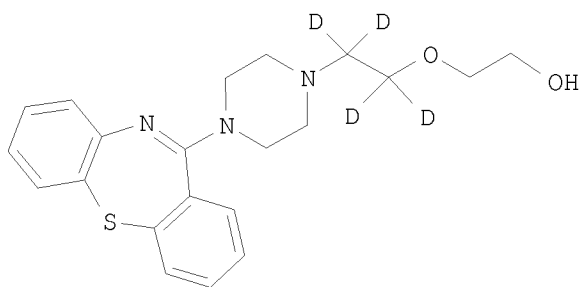
PRIORITY APPLN. INFO.: US 2006-853209P P 20061020

OTHER SOURCE(S): MARPAT 149:32336

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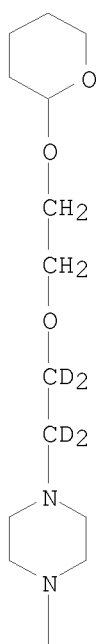
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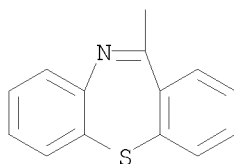
II

- AB This title compds. with general formula I [wherein Z1-Z4 = independently hydrogen or deuterium; Y1-Y4 = independently hydrogen, deuterium, or fluorine; and at least one of Z1-Z4 is deuterium] or pharmaceutically acceptable acid addition salts, solvates, hydrates, or polymorphs thereof were prepared as antagonists of multiple neurotransmitter receptors in brain, for the treatment of diseases and conditions beneficially from the inhibition of serotonergic 5HT1A and 5HT2 receptors, dopaminergic D1 and D2 receptors, histaminergic H1 receptors, or adrenergic  $\alpha$ 1 and  $\alpha$ 2 receptors. For example, compound II was prepared in a multi-step synthesis. I can be used for the treatment of a patient suffering from or susceptible to a disease or condition selected from schizophrenia, schizo-affective disorders, mania (manic disorder), bipolar I disorder, bipolar II disorder, depression associated with bipolar disorders, etc.
- IT 1031703-39-5P 1031703-40-8P 1031703-41-9P  
 RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)  
 (preparation of dibenzothiazepine derivs. as antagonists of multiple neurotransmitter receptors)
- RN 1031703-39-5 CAPLUS
- CN Dibenzo[b,f][1,4]thiazepine, 11-[4-[2-[2-[(tetrahydro-2H-pyran-2-yl)oxy]ethoxy]ethyl-1,1,2,2-d4]-1-piperazinyl]- (CA INDEX NAME)

PAGE 1-A

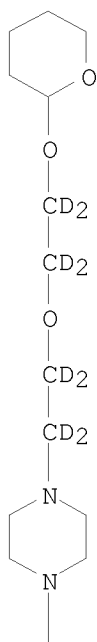


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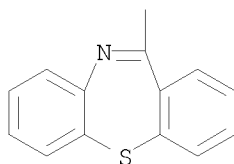


RN 1031703-40-8 CAPLUS  
 CN Dibenzo[b,f][1,4]thiazepine, 11-[4-[2-[2-[(tetrahydro-2H-pyran-2-yl)oxy]ethoxy-1,1,2,2-d4]ethyl-1,1,2,2-d4]-1-piperazinyl]- (CA INDEX NAME)

PAGE 1-A



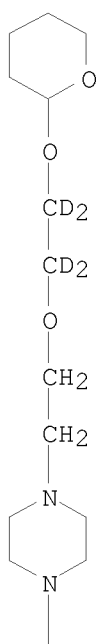
PAGE 2-A



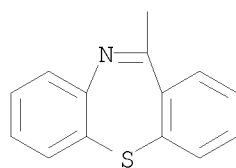
RN 1031703-41-9 CAPLUS  
 CN Dibenzo[b,f][1,4]thiazepine, 11-[4-[2-[2-[(tetrahydro-2H-pyran-2-yl)oxy]ethoxy-1,1,2,2-d4]ethyl]-1-piperazinyl]- (CA INDEX NAME)



PAGE 1-A



PAGE 2-A



L30 ANSWER 6 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2008:79719 CAPLUS  
DOCUMENT NUMBER: 148:363066  
TITLE: Identification, isolation, synthesis and  
characterization of impurities of quetiapine fumarate  
AUTHOR(S): Bharathi, Ch.; Prabahar, K. J.; Prasad, Ch. S.;  
Srinivasa Rao, M.; Trinadhachary, G. N.; Handa, V. K.;  
Dandala, Ramesh; Naidu, A.  
CORPORATE SOURCE: Research Centre, Aurobindo Pharma Ltd., Hyderabad,  
500072, India  
SOURCE: Pharmazie (2008), 63(1), 14-19  
CODEN: PHARAT; ISSN: 0031-7144  
PUBLISHER: Govi-Verlag Pharmazeutischer Verlag GmbH  
DOCUMENT TYPE: Journal  
LANGUAGE: English  
OTHER SOURCE(S): CASREACT 148:363066  
GI

\* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT \*

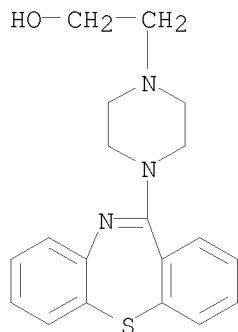
AB In the process for the preparation of quetiapine fumarate (1), six unknown impurities (I-VI) and one known impurity (intermediate) were identified ranging from 0.05-0.15% by reverse-phase HPLC. These impurities were isolated from crude samples using reverse-phase preparative HPLC and characterized based on the spectral data. The known impurity was an intermediate, 11-piperazinyl-dibenzo[b,f][1,4]thiazepine(piperazinylthiazepine). The structures were established unambiguously by independent synthesis and coinjection in HPLC to confirm the retention times. To the best of authors' knowledge, these impurities have not been reported before. Structural elucidation of all impurities by spectral data (1H NMR, 13C NMR, MS and IR), synthesis and formation of these impurities are discussed in detail.

IT 329216-67-3P

RL: OCU (Occurrence, unclassified); SPN (Synthetic preparation); OCCU (Occurrence); PREP (Preparation)  
(identification, isolation, synthesis and characterization of  
impurities of quetiapine fumarate)

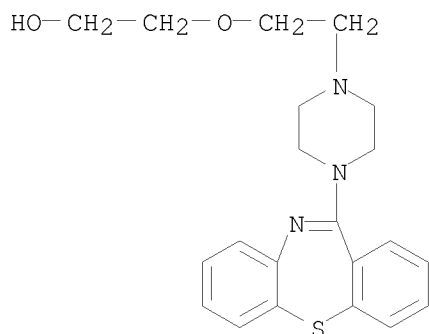
RN 329216-67-3 CAPLUS

CN 1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)



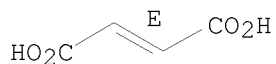
10/566,413

IT 111974-72-2, Quetiapine fumarate  
RL: RCT (Reactant); THU (Therapeutic use); BIOL (Biological study); RACT  
(Reactant or reagent); USES (Uses)  
(identification, isolation, synthesis and characterization of  
impurities of quetiapine fumarate)  
RN 111974-72-2 CAPLUS  
CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-,  
(2E)-2-butenedioate (2:1) (CA INDEX NAME)  
  
CM 1  
  
CRN 111974-69-7  
CMF C21 H25 N3 O2 S



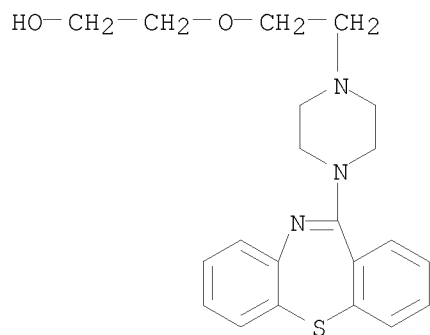
CM 2  
  
CRN 110-17-8  
CMF C4 H4 O4

Double bond geometry as shown.



IT 111974-69-7P  
RL: RGT (Reagent); SPN (Synthetic preparation); PREP (Preparation); RACT  
(Reactant or reagent)  
(identification, isolation, synthesis and characterization of  
impurities of quetiapine fumarate)  
RN 111974-69-7 CAPLUS  
CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-  
(CA INDEX NAME)

10/566,413



OS.CITING REF COUNT: 2

THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD  
(2 CITINGS)

REFERENCE COUNT: 6

THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS  
RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L30 ANSWER 7 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2007:249111 CAPLUS

DOCUMENT NUMBER: 147:541911

TITLE: Process for the preparation of quetiapine, a dopamine antagonist

INVENTOR(S): Deshpande, Pandurang Balwant

PATENT ASSIGNEE(S): Orichid Chemicals &amp; Pharmaceuticals Ltd., India

SOURCE: Indian Pat. Appl., 26pp.

CODEN: INXXBQ

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
IN 2003CH00804	A	20051118	IN 2003-CH804	20031006
PRIORITY APPLN. INFO.:			IN 2003-CH804	20031006
OTHER SOURCE(S):		CASREACT 147:541911; MARPAT 147:541911		

GI

\* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT \*

AB The present invention relates to a process for the preparation of biol. active thiazepine derivative I [R1 = (CH2)2O(CH2)2OH, (CH2)2OH, (CH2)2Cl]. The present invention more particularly relates to an improved process for the preparation of quetiapine [I; R1 = (CH2)2O(CH2)2OH], a dopamine antagonist. Thus, reaction of 2-fluoronitrobenzene with thiosalicylic acid followed by converting the resulting 2-(2-nitrophenylthio)benzoic acid into acid chloride, reacting the acid chloride with 1-[2-(2-hydroxyethoxy)ethyl]piperazine, reduction of II, and cyclization of III afforded quetiapine [I; R1 = (CH2)2O(CH2)2OH].

IT 111974-69-7P 329216-67-3P

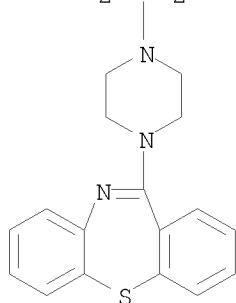
RL: IMF (Industrial manufacture); SPN (Synthetic preparation); PREP (Preparation)

(process for the preparation of quetiapine, a dopamine antagonist)

RN 111974-69-7 CAPLUS

CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-(CA INDEX NAME)

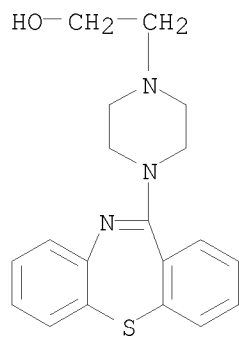
HO-CH2-CH2-O-CH2-CH2



10/566,413

RN 329216-67-3 CAPLUS

CN 1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)



L30 ANSWER 8 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2006:1114869 CAPLUS

DOCUMENT NUMBER: 145:397560

TITLE: Condensation process for the preparation of  
 11-[4-(substituted)-1-piperazinyl]dibenzo[b,f]-1,4-  
 thiazepines from piperazines and  
 10H-dibenzo[b,f][1,4]thiazepin-11-one in the presence  
 of titanium tetraalkoxides

INVENTOR(S): Comelv, Alexander Chris; Verdaguer Espauella,  
 Francesc Xavier; Rafecas, Jane Llorenc; Domingo Coto,  
 Antonio

PATENT ASSIGNEE(S): Union Quimico-Farmaceutica S.A., Spain

SOURCE: Span., 16pp.  
 CODEN: SPXXAD

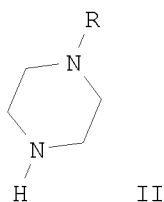
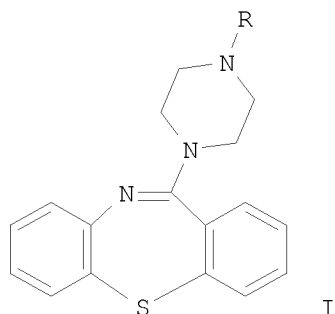
DOCUMENT TYPE: Patent

LANGUAGE: Spanish

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

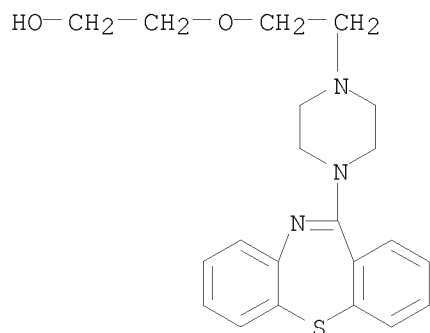
PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
ES 2234447	A1	20050616	ES 2005-513	20050307
ES 2234447	B1	20060301		
WO 2006094549	A1	20060914	WO 2005-EP14055	20051221
W:	AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW			
RW:	AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM			
EP 1856073	A1	20071121	EP 2005-850361	20051221
R:	AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LI, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, AL, BA, HR, MK, YU			
US 20080171869	A1	20080717	US 2007-817884	20070906
NO 2007005071	A	20071128	NO 2007-5071	20071008
PRIORITY APPLN. INFO.:			ES 2005-513	A 20050307
			WO 2005-EP14055	W 20051221
OTHER SOURCE(S):	CASREACT 145:397560; MARPAT 145:397560			
GI				



- AB 11-[4-(Substituted)-1-piperazinyl]dibenzo[b,f][1,4]thiazepines [I; R = (CH<sub>2</sub>)<sub>2</sub>OH, (CH<sub>2</sub>)<sub>2</sub>O(CH<sub>2</sub>)<sub>2</sub>OH; e.g., quetiapine] are prepared by the condensation of piperazines [II; e.g., 1-(2-hydroxyethoxy)ethyl)piperazine] with 10H-dibenzo[b,f]-1,4-thiazepin-11-one in the presence of titanium tetraalkoxides Ti(OR<sub>1</sub>)<sub>4</sub> [R<sub>1</sub> = (un)branched C1-8 alkyl; e.g., titanium tetraisopropoxide] which may optionally be salified with acids.
- IT 111974-69-7P, Quetiapine  
 RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)  
 (condensation process for the preparation of 11-(4-substituted-1-piperazinyl)dibenzo[b,f]-1,4-thiazepines from piperazines and 10H-dibenzo[b,f][1,4]thiazepin-11-one in the presence of titanium tetraalkoxides)
- RN 111974-69-7 CAPLUS
- CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-(CA INDEX NAME)



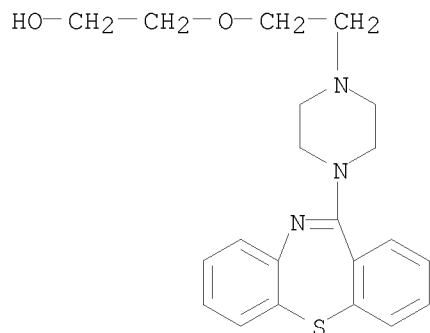
10/566,413



IT 111974-72-2P, Quetiapine hemifumarate 329216-67-3P  
RL: SPN (Synthetic preparation); PREP (Preparation)  
(condensation process for the preparation of  
11-(4-substituted-1-piperazinyl)dibenzo[b,f]-1,4-thiazepines from  
piperazines and 10H-dibenzo[b,f][1,4]thiazepin-11-one in the presence  
of titanium tetraalkoxides)  
RN 111974-72-2 CAPLUS  
CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-,  
(2E)-2-butenedioate (2:1) (CA INDEX NAME)

CM 1

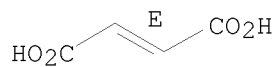
CRN 111974-69-7  
CMF C21 H25 N3 O2 S



CM 2

CRN 110-17-8  
CMF C4 H4 O4

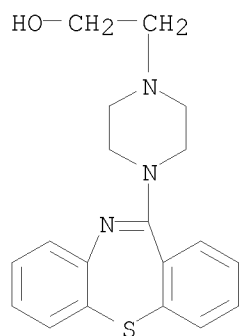
Double bond geometry as shown.



10/566,413

RN 329216-67-3 CAPLUS

CN 1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)



L30 ANSWER 9 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2006:684487 CAPLUS

DOCUMENT NUMBER: 145:327595

TITLE: In vitro studies on quetiapine metabolism using the substrate depletion approach with focus on drug-drug interactions

AUTHOR(S): Hasselstroem, Joergen; Linnet, Kristian

CORPORATE SOURCE: Centre for Basic Psychiatric Research, Aarhus University Hospital, Den.

SOURCE: Drug Metabolism and Drug Interactions (2006), Volume Date 2005, 21(3-4), 187-211

CODEN: DMDIEQ; ISSN: 0792-5077

PUBLISHER: Freund Publishing House Ltd.

DOCUMENT TYPE: Journal

LANGUAGE: English

AB The metabolism of the atypical antipsychotic quetiapine was investigated by in vitro methods. Pharmacokinetic parameters were determined in human liver microsomes and recombinant cytochrome P 450 measuring substrate depletion and product formation. The cytochrome P 450 isoenzymes CYP3A4 and CYP2D6 displayed activity towards quetiapine. The isoenzyme CYP2D6 played a minor role in the metabolism of quetiapine as CYP3A4 contributed 89% to the overall metabolism. A  $K_m$  value of 18  $\mu M$  was determined by substrate depletion, suggesting linear kinetics under therapeutic conditions. Drugs known to inhibit CYP3A4, such as ketoconazole and nefazodone, displayed almost complete inhibition at low concns., whereas inhibitors of CYP2D6 do not seem to have a clin. relevant effect.

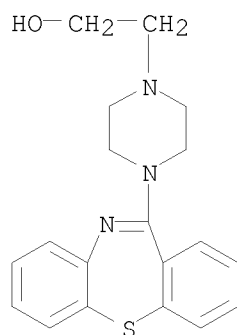
IT 329216-67-3

RL: BSU (Biological study, unclassified); BIOL (Biological study)

(in vitro studies on quetiapine metabolism using the substrate depletion approach with focus on drug-drug interactions)

RN 329216-67-3 CAPLUS

CN 1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)



IT 111974-69-7, Quetiapine

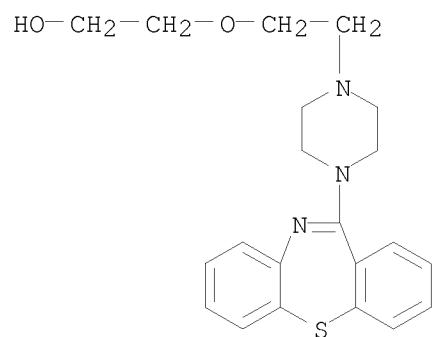
RL: PAC (Pharmacological activity); PKT (Pharmacokinetics); BIOL (Biological study)

(in vitro studies on quetiapine metabolism using the substrate depletion approach with focus on drug-drug interactions)

RN 111974-69-7 CAPLUS

CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]- (CA INDEX NAME)

10/566,413



REFERENCE COUNT:

30

THERE ARE 30 CITED REFERENCES AVAILABLE FOR THIS  
RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L30 ANSWER 10 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2006:238901 CAPLUS

DOCUMENT NUMBER: 144:292785

TITLE: Process for preparation of  
 11-[4-[2-(2-hydroxyethoxy)ethyl]-1-  
 piperazinyl]dibenzo[b,f][1,4]thiazepine (Quetiapine)  
 from 2-amino-2'-carboxydiphenyl sulfide and  
 1-hydroxyethoxyethylpiperazine.

INVENTOR(S): Pathak, Shailendra; Sharma, Jitendra; Kaushik,  
 Geetesh; Thaper, Rajesh Kumar; Dubey, Sushil Kumar

PATENT ASSIGNEE(S): Jubilant Organosys Limited, India

SOURCE: PCT Int. Appl., 23 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent

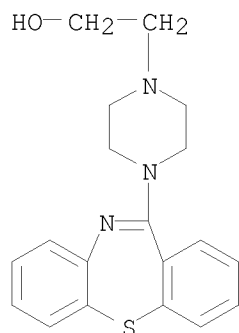
LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

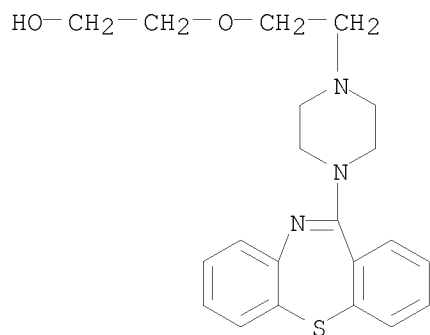
PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 2006027789	A1	20060316	WO 2004-IN281	20040908
W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM				
IN 2006DN04348	A	20070713	IN 2006-DN4348	20060727
PRIORITY APPLN. INFO.:			WO 2004-IN281	W 20040908
OTHER SOURCE(S): CASREACT 144:292785				
AB	A process for preparation of Quetiapine comprises reaction of 2-amino-2'-carboxydiphenyl sulfide with a phosphorus halide or oxyhalide to give an iminothalide which is treated with 1-hydroxyethoxyethylpiperazine.			
IT	329216-67-3P RL: IMF (Industrial manufacture); RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (preparation of Quetiapine from aminocarboxydiphenyl sulfide and 1-hydroxyethoxyethylpiperazine)			
RN	329216-67-3 CAPLUS			
CN	1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)			

10/566,413

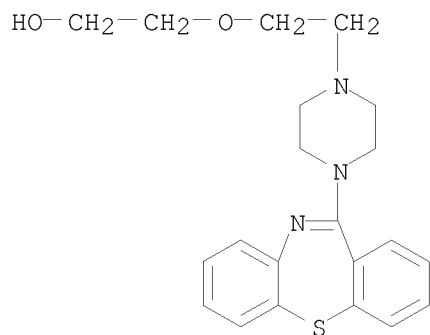


IT 111974-69-7P, Quetiapine 111974-72-2P, Quetiapine  
hemifumarate  
RL: IMF (Industrial manufacture); SPN (Synthetic preparation); PREP  
(Preparation)  
(preparation of Quetiapine from aminocarboxydiphenyl sulfide and  
1-hydroxyethoxyethylpiperazine)  
RN 111974-69-7 CAPLUS  
CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-  
(CA INDEX NAME)



RN 111974-72-2 CAPLUS  
CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-,  
(2E)-2-butenedioate (2:1) (CA INDEX NAME)  
CM 1  
CRN 111974-69-7  
CMF C21 H25 N3 O2 S

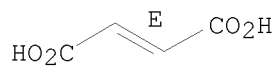
10/566,413



CM 2

CRN 110-17-8  
CMF C4 H4 O4

Double bond geometry as shown.



OS.CITING REF COUNT:	1	THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS)
REFERENCE COUNT:	1	THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L30 ANSWER 11 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2006:72863 CAPLUS

DOCUMENT NUMBER: 145:55319

TITLE: Effects of cytochrome P450 3A modulators ketoconazole and carbamazepine on quetiapine pharmacokinetics

AUTHOR(S): Grimm, Scott W.; Richtand, Neil M.; Winter, Helen R.; Stams, Karen R.; Reece, Stots B.

CORPORATE SOURCE: AstraZeneca Pharmaceuticals LP, Wilmington, DE, USA

SOURCE: British Journal of Clinical Pharmacology (2006), 61(1), 58-69

CODEN: BCPHBM; ISSN: 0306-5251

PUBLISHER: Blackwell Publishing Ltd.

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Aims To explore the potential for drug interactions on quetiapine pharmacokinetics using in vitro and in vivo assessments. Methods The CYP enzymes responsible for quetiapine metabolite formation were assessed using recombinant expressed CYPs and CYP-selective inhibitors. P-glycoprotein (Pgp) transport was tested in MDCK cells expressing the human MDR1 gene. The effects of CYP3A4 inhibition were evaluated clin. in 12 healthy volunteers that received 25 mg quetiapine before and after 4 days of treatment with ketoconazole 200 mg daily. To assess CYP3A4 induction in vivo, 18 patients with psychiatric disorders were titrated to steady-state quetiapine levels (300 mg twice daily), then titrated to 600 mg daily carbamazepine for 2 wk. Results CYP3A4 was found to be responsible for formation of quetiapine sulfoxide and N- and O-desalkylquetiapine and not a Pgp substrate. In the clin. studies, ketoconazole increased mean quetiapine plasma Cmax by 3.35-fold, from 45 to 150 ng ml<sup>-1</sup> (mean Cmax ratio 90% CI 2.51, 4.47) and decreased its clearance (Cl/F) by 84%, from 138 to 22 l h<sup>-1</sup> (mean ratio 90% CI 0.13, 0.20). Carbamazepine decreased quetiapine plasma Cmax by 80%, from 1042 to 205 ng ml<sup>-1</sup> (mean Cmax ratio 90% CI 0.14, 0.28) and increased its clearance 7.5-fold, from 65 to 483 l h<sup>-1</sup> (mean ratio 90% CI 6.04, 9.28). Conclusions Cytochrome P 450 3A4 is a primary enzyme responsible for the metabolic clearance of quetiapine. Quetiapine pharmacokinetics were affected by concomitant administration of ketoconazole and carbamazepine, and therefore other drugs and ingested natural products that strongly modulate the activity or expression of CYP3A4 would be predicted to change exposure to quetiapine.

IT 329216-67-3

RL: BSU (Biological study, unclassified); BIOL (Biological study)

(ketoconazole decreased formation of quetiapine metabolite

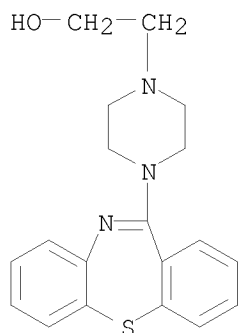
O-desalkylquetiapine in healthy human)

RN 329216-67-3 CAPLUS

CN 1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)



10/566,413



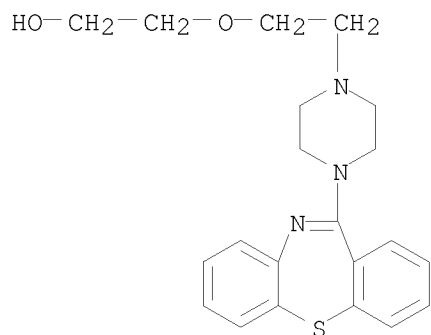
IT 111974-69-7, Quetiapine

RL: PKT (Pharmacokinetics); BIOL (Biological study)

(ketoconazole increased C<sub>max</sub>, AUC, t<sub>1/2</sub>, decreased CL/F of quetiapine in healthy human, carbamazepine decreased C<sub>max</sub>, AUC, t<sub>max</sub>, increased CL/F of quetiapine in patient with psychiatric disorder)

RN 111974-69-7 CAPLUS

CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-  
(CA INDEX NAME)



OS.CITING REF COUNT: 18

THERE ARE 18 CAPLUS RECORDS THAT CITE THIS RECORD (18 CITINGS)

REFERENCE COUNT: 27

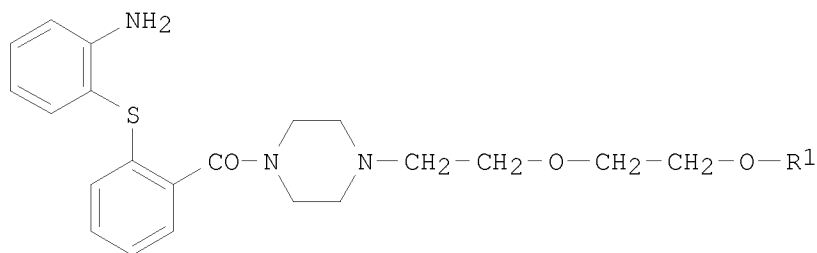
THERE ARE 27 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L30 ANSWER 12 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

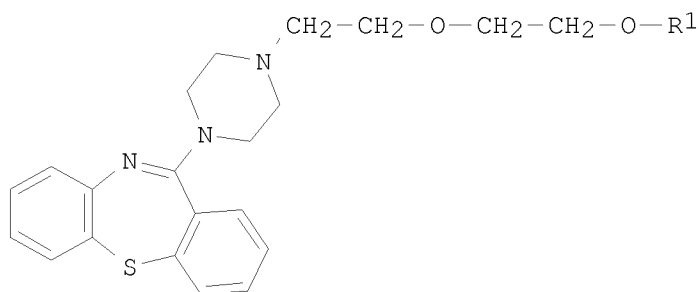
ACCESSION NUMBER: 2005:325696 CAPLUS  
 DOCUMENT NUMBER: 142:392444  
 TITLE: Preparation of quetiapine  
 INVENTOR(S): Deshpande, Pandurang Balwant; Holkar, Anil Ganpat;  
 Gudaparthi, Omprakash; Kumar, Jothi Dinesh  
 PATENT ASSIGNEE(S): Orchid Chemicals & Pharmaceuticals Ltd., India  
 SOURCE: U.S. Pat. Appl. Publ., 9 pp.  
 CODEN: USXXCO  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 20050080072	A1	20050414	US 2004-925941	20040826
IN 2003CH00695	A	20051118	IN 2003-CH695	20030901
PRIORITY APPLN. INFO.:			IN 2003-CH695	A 20030901
			US 2004-534100P	P 20040105

OTHER SOURCE(S): CASREACT 142:392444  
 GI



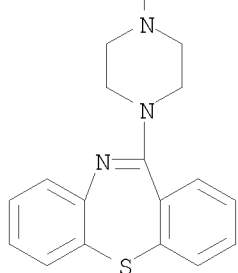
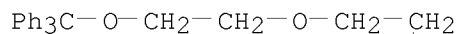
I



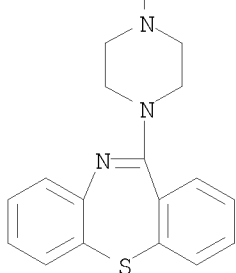
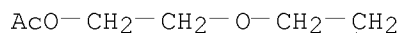
II

AB Preparation of quetiapine via the Lewis acid catalyzed cyclization of aminophenyl I [R1 = alc. protecting group] was disclosed. For example, phosphorus oxychloride (25 mL) was added slowly to a solution of aminophenyl I [25 g; R1 = COMe] in toluene (25 mL). The reaction was heated at reflux for 5-6 h, to afford after work-up, the acetate ester of quetiapine II [R1 = COMe]. Of note, the invention relates to an improved process for the

preparation of the dibenzo[b,f][1,4]thiazepine ring of quetiapine.  
 IT 844639-06-1P 844639-07-2P 844639-08-3P  
 RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT  
 (Reactant or reagent)  
 (preparation of quetiapine)  
 RN 844639-06-1 CAPLUS  
 CN Dibenzo[b,f][1,4]thiazepine, 11-[4-[2-[2-(triphenylmethoxy)ethoxy]ethyl]-1-  
 piperazinyl]- (CA INDEX NAME)

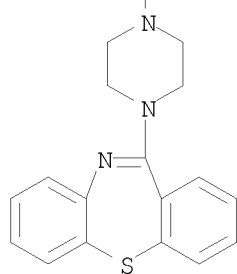
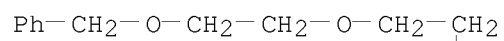


RN 844639-07-2 CAPLUS  
 CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-,  
 1-acetate (CA INDEX NAME)



RN 844639-08-3 CAPLUS  
 CN Dibenzo[b,f][1,4]thiazepine, 11-[4-[2-[2-(phenylmethoxy)ethoxy]ethyl]-1-  
 piperazinyl]- (CA INDEX NAME)

10/566,413



L30 ANSWER 13 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:283479 CAPLUS

DOCUMENT NUMBER: 142:355289

TITLE: Preparation of quetiapine via the cyclization of  
2-(2-aminophenylthio)benzamides

INVENTOR(S): Rummakko, Petteri; Huhta, Soini; Grumann, Arne

PATENT ASSIGNEE(S): Fermion Oy, Finland

SOURCE: PCT Int. Appl., 18 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent

LANGUAGE: English

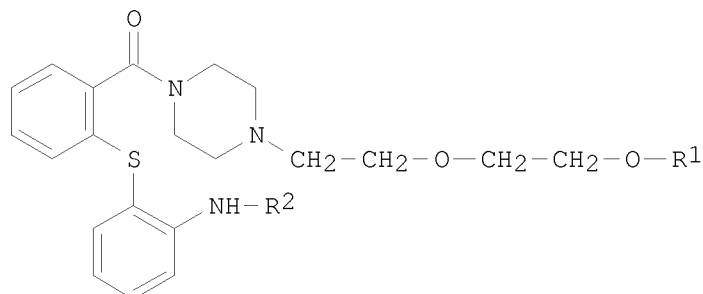
FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

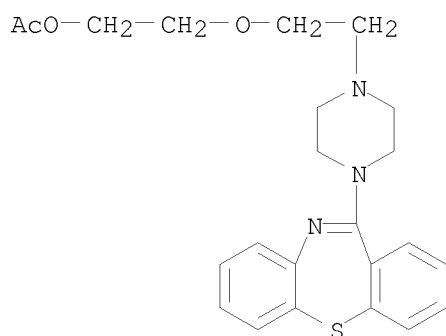
PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 2005028458	A1	20050331	WO 2004-FI560	20040923
W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW				
RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG				
CA 2538745	A1	20050331	CA 2004-2538745	20040923
EP 1664007	A1	20060607	EP 2004-767074	20040923
R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, FI, RO, CY, TR, BG, CZ, EE, HU, PL, SK, HR				
JP 2007505864	T	20070315	JP 2006-526653	20040923
US 20070111987	A1	20070517	US 2007-572409	20070116
PRIORITY APPLN. INFO.:			US 2003-504981P	P 20030923
			WO 2004-FI560	W 20040923

OTHER SOURCE(S): CASREACT 142:355289

GI



- AB Preparation of quetiapine via the cyclization of title compds. I [R1 = hydroxyl protecting group, e.g., acetyl, benzoyl, pivaloyl, etc.; R2 = H, amino protecting group, e.g., acetyl, pivaloyl, benzyl] was disclosed. For example, phosphoric trichloride mediated cyclization of acetate I (R1 = COMe; R2 = COMe), afforded the acetate of quetiapine. Of note, phosphorus oxychloride is claimed to be an effective reagent for the cyclization of title compds. I.
- IT 844639-07-2P  
 RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)  
 (preparation of quetiapine via the cyclization of aminophenylthiobenzamides)
- RN 844639-07-2 CAPLUS
- CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-, 1-acetate (CA INDEX NAME)



REFERENCE COUNT: 6 THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L30 ANSWER 14 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:283478 CAPLUS

DOCUMENT NUMBER: 142:355288

TITLE: Preparation of quetiapine via the cyclization of  
N,N-bis(2-haloethyl)ethanamines and  
dibenzo(b,f)[1,4]thiazepin-1-ylamine

INVENTOR(S): Grumann, Arne; Huhta, Soini; Rummakko, Petteri

PATENT ASSIGNEE(S): Fermion Oy, Finland

SOURCE: PCT Int. Appl., 14 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

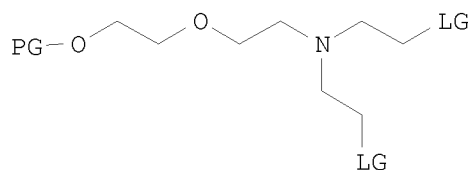
PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 2005028457	A1	20050331	WO 2004-FI559	20040923
W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG				

PRIORITY APPLN. INFO.: US 2003-504983P P 20030923

OTHER SOURCE(S): CASREACT 142:355288; MARPAT 142:355288

GI



I

AB Preparation of quetiapine via the N-alkylation of  
dibenzo(b,f)[1,4]thiazepin-1-ylamine with title compds. I [PG = protecting  
group; LG = leaving group, e.g, halo, CF<sub>3</sub>, p-toluenesulfonyl, etc.] was  
disclosed. For example, NaOH mediated N-alkylation of  
dibenzo(b,f)[1,4]thiazepin-1-ylamine with ethanamine I [PG = CH<sub>2</sub>Ph; LG =  
Cl] afforded the benzyl ester of quetiapine in 91% yield.

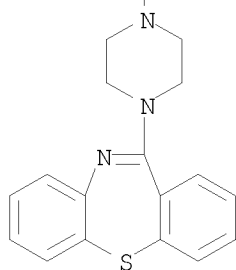
IT 844639-08-3P 848888-35-7P

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT  
(Reactant or reagent)(preparation of quetiapine via the cyclization of  
N,N-bis(2-haloethyl)ethanamines and

10/566,413

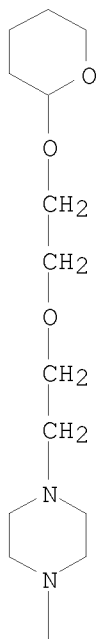
dibenzo(b,f)[1,4]thiazepin-1-ylamine)  
RN 844639-08-3 CAPLUS  
CN Dibenzo[b,f][1,4]thiazepine, 11-[4-[2-[2-(phenylmethoxy)ethoxy]ethyl]-1-piperazinyl]- (CA INDEX NAME)

Ph-CH<sub>2</sub>-O-CH<sub>2</sub>-CH<sub>2</sub>-O-CH<sub>2</sub>-CH<sub>2</sub>

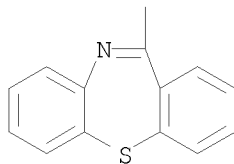


RN 848888-35-7 CAPLUS  
CN Dibenzo[b,f][1,4]thiazepine, 11-[4-[2-[2-[(tetrahydro-2H-pyran-2-yl)oxy]ethoxy]ethyl]-1-piperazinyl]- (CA INDEX NAME)

PAGE 1-A







OS.CITING REF COUNT:	2	THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS)
REFERENCE COUNT:	7	THERE ARE 7 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L30 ANSWER 15 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:141066 CAPLUS

DOCUMENT NUMBER: 142:240472

TITLE: Procedure for preparing a pharmaceutically active compound

INVENTOR(S): Puig Torres, Salvador; Herbera Espinal, Reyes; Dalmases Barjoan, Pere

PATENT ASSIGNEE(S): Laboratorios Vita, S. A., Spain

SOURCE: PCT Int. Appl., 22 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 2005014590	A2	20050217	WO 2004-IB2527	20040727
WO 2005014590	A3	20050506		
W:	AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW			
RW:	BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG			
ES 2223294	A1	20050216	ES 2003-1922	20030808
ES 2223294	B2	20051001		
EP 1660468	A2	20060531	EP 2004-744176	20040727
EP 1660468	B1	20070718		
R:	AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, PL, SK, HR			
JP 2007501837	T	20070201	JP 2006-523068	20040727
AT 367383	T	20070815	AT 2004-744176	20040727
ES 2290734	T3	20080216	ES 2004-744176	20040727
US 20060189594	A1	20060824	US 2006-566413	20060130
KR 2006087506	A	20060802	KR 2006-702638	20060207
KR 864799	B1	20081023		
PRIORITY APPLN. INFO.:			ES 2003-1922	A 20030808
			WO 2004-IB2527	W 20040727
OTHER SOURCE(S):	CASREACT 142:240472; MARPAT 142:240472			
GI				

\* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT \*

AB The invention relates to a procedure for preparing quetiapine (I) by reaction between dibenzothiazepine II and a compound P-OCH<sub>2</sub>CH<sub>2</sub>X [P = alc. protective group resistant to alkaline conditions; especially ethers, e.g., tetrahydropyranyl, CH<sub>2</sub>Ph, trityl; X = leaving group, e.g., halogen, mesylate, triflate,

nonaflate, tresylate, tosylate, brosylate, nosylate], in the presence of a base, followed by a step of deprotection of ether III and, optionally, obtaining a pharmaceutically acceptable salt thereof. Said procedure permits the obtaining of quetiapine with a high degree of purity under soft temperature conditions, with short reaction times and avoiding the use of toxic solvents.

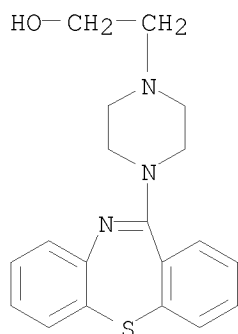
IT 329216-67-3, 2-[4-(Dibenzo[b,f][1,4]thiazepin-11-yl)piperazin-1-yl]ethanol

RL: RCT (Reactant); RACT (Reactant or reagent)

(etherification of, with (chloroethoxy)tetrahydropyran and analogs; procedure for preparing quetiapine from a dibenzothiazepine piperazinoethanol derivative)

RN 329216-67-3 CAPLUS

CN 1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)



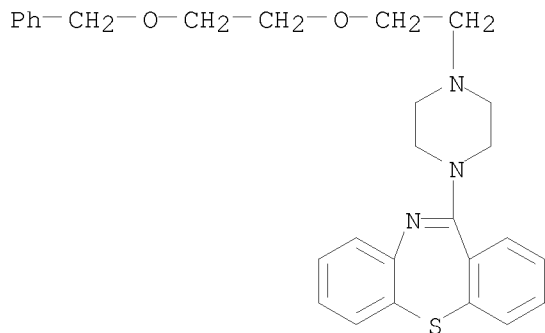
IT 844639-08-3P, 11-[4-[2-(2-Benzyloxyethoxy)ethyl]piperazin-1-yl]dibenzo[b,f][a,4]thiazepine

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

(preparation and acetolysis of; procedure for preparing quetiapine from a dibenzothiazepine piperazinoethanol derivative)

RN 844639-08-3 CAPLUS

CN Dibenzo[b,f][1,4]thiazepine, 11-[4-[2-[2-(phenylmethoxy)ethoxy]ethyl]-1-piperazinyl]- (CA INDEX NAME)



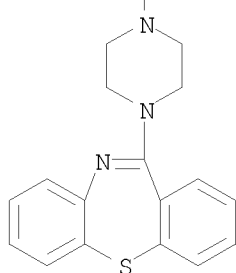
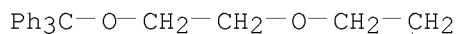
IT 844639-06-1P, 11-[4-[2-(2-Trityloxyethoxy)ethyl]piperazin-1-yl]dibenzo[b,f][a,4]thiazepine

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

(preparation and acid hydrolysis of; procedure for preparing quetiapine from a dibenzothiazepine piperazinoethanol derivative)

RN 844639-06-1 CAPLUS

CN Dibenzo[b,f][1,4]thiazepine, 11-[4-[2-[2-(triphenylmethoxy)ethoxy]ethyl]-1-piperazinyl]- (CA INDEX NAME)



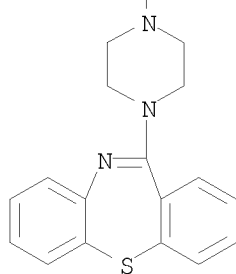
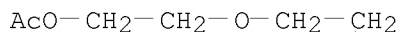
IT 844639-07-2P, 11-[4-[2-(2-Acetoxyethoxy)ethyl]piperazin-1-yl]dibenzo[b,f][a,4]thiazepine

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

(preparation and basic hydrolysis of; procedure for preparing quetiapine from a dibenzothiazepine piperazinoethanol derivative)

RN 844639-07-2 CAPLUS

CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-, 1-acetate (CA INDEX NAME)



IT 111974-69-7P, Quetiapine

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

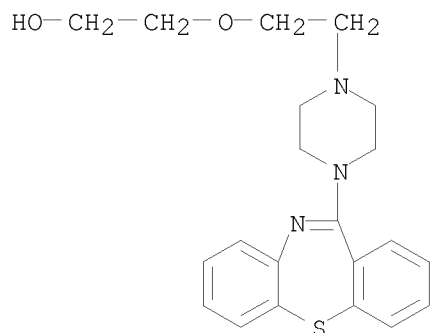
(preparation and reaction of, with fumaric acid; procedure for preparing quetiapine from a dibenzothiazepine piperazinoethanol derivative)

RN 111974-69-7 CAPLUS

CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-

10/566,413

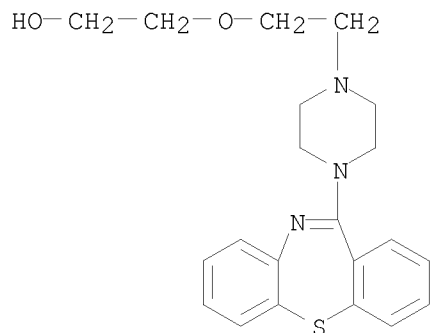
(CA INDEX NAME)



IT 111974-72-2P, Quetiapine hemifumarate  
RL: SPN (Synthetic preparation); PREP (Preparation)  
(preparation and reaction of, with fumaric acid; procedure for preparing  
quetiapine from a dibenzothiazepine piperazinoethanol derivative)  
RN 111974-72-2 CAPLUS  
CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-,  
(2E)-2-butenedioate (2:1) (CA INDEX NAME)

CM 1

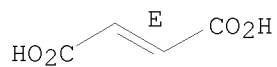
CRN 111974-69-7  
CMF C21 H25 N3 O2 S



CM 2

CRN 110-17-8  
CMF C4 H4 O4

Double bond geometry as shown.



10/566,413

OS.CITING REF COUNT:	1	THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS)
REFERENCE COUNT:	3	THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L30 ANSWER 16 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2001:6274 CAPLUS

DOCUMENT NUMBER: 134:216805

TITLE: Behavioral Approach to Nondyskinetic Dopamine Antagonists: Identification of Seroquel

AUTHOR(S): Warawa, Edward J.; Migler, Bernard M.; Ohnmacht, Cyrus J.; Needles, Ann L.; Gatos, George C.; McLaren, Frances M.; Nelson, Cynthia L.; Kirkland, Karen M.

CORPORATE SOURCE: Departments of Medicinal Chemistry Pharmacology and Drug Disposition and Metabolism, AstraZeneca Pharmaceuticals LP, Wilmington, DE, 19850-5437, USA

SOURCE: Journal of Medicinal Chemistry (2001), 44(3), 372-389  
CODEN: JMCMAR; ISSN: 0022-2623

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal

LANGUAGE: English

AB A great need exists for antipsychotic drugs which will not induce extrapyramidal symptoms (EPS) and tardive dyskinesias (TDs). These side effects are deemed to be a consequence of nonselective blockade of nigrostriatal and mesolimbic dopamine D2 receptors. Nondyskinetic clozapine (1) is a low-potency D2 dopamine receptor antagonist which appears to act selectively in the mesolimbic area. In this work dopamine antagonism was assessed in two mouse behavioral assays: antagonism of apomorphine-induced climbing and antagonism of apomorphine-induced disruption of swimming. The potential for the liability of dyskinesias was determined in haloperidol-sensitized Cebus monkeys. Initial examination

of a few close congeners of 1 enhanced confidence in the Cebus model as a predictor of dyskinetic potential. Among dibenzodiazepines, 1 did not induce dyskinesias whereas its N-2-(2-hydroxyethoxy)ethyl analog was dyskinetic. The emergence of such distinctions presented an opportunity. Thus, aromatic and N-substituted analogs of 6-(piperazin-1-yl)-11H-dibenz[b,e]azepines and 11-(piperazin-1-yl)dibenzo[b,f][1,4]thiazepines and -oxazepines were prepared and evaluated. 11-(4-[2-(2-Hydroxyethoxy)ethyl]piperazin-1-yl)dibenzo[b,f][1,4]thiazepine was found to be an apomorphine antagonist comparable to clozapine. It was essentially nondyskinetic in the Cebus model. A number of N-substituted analogs were found to be good apomorphine antagonists but all were dyskinetic.

IT 111974-69-7P 329216-67-3P

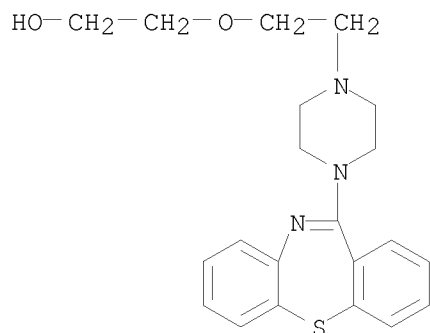
RL: ADV (Adverse effect, including toxicity); BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of piperazinyl dibenzazepines as nondyskinetic dopamine antagonists)

RN 111974-69-7 CAPLUS

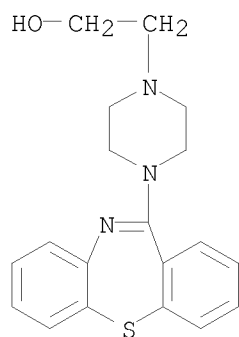
CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-(CA INDEX NAME)

10/566,413



RN 329216-67-3 CAPLUS

CN 1-Piperazineethanol, 4-dibenzo[b,f][1,4]thiazepin-11-yl- (CA INDEX NAME)



IT 111974-72-2P

RL: SPN (Synthetic preparation); PREP (Preparation)  
(preparation of piperazinyl dibenzazepines as nondyskinetic dopamine antagonists)

RN 111974-72-2 CAPLUS

CN Ethanol, 2-[2-(4-dibenzo[b,f][1,4]thiazepin-11-yl-1-piperazinyl)ethoxy]-, (2E)-2-butenedioate (2:1) (CA INDEX NAME)

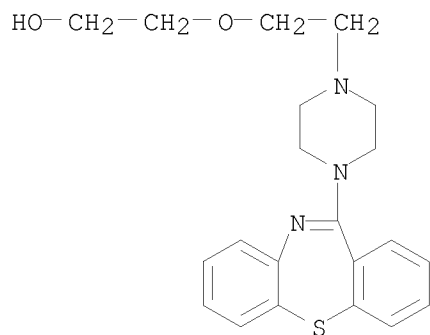
CM 1

CRN 111974-69-7

CMF C21 H25 N3 O2 S



10/566,413

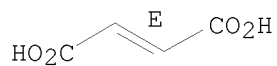


CM 2

CRN 110-17-8

CMF C4 H4 O4

Double bond geometry as shown.



OS.CITING REF COUNT: 17

THERE ARE 17 CAPLUS RECORDS THAT CITE THIS  
RECORD (17 CITINGS)

REFERENCE COUNT: 50

THERE ARE 50 CITED REFERENCES AVAILABLE FOR THIS  
RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT